

**HOGAN & HARTSON**

GAIKOKUHO JIMU BENGOSHI JIMUSHO

SHINJUKU CENTER BUILDING, 46TH FLOOR  
25-1 NISHI-SHINJUKU 1-CHOME  
SHINJUKU-KU TOKYO 163-0646 JAPAN

Tel: 03-5908-4070  
Fax: 03-5908-4071

WASHINGTON, DC

BERLIN

BRUSSELS

LONDON

PARIS

BUDAPEST

PRAGUE

WARSAW

MOSCOW

TOKYO

NEW YORK, NY

BALTIMORE, MD

McLEAN, VA

MIAMI, FL

DENVER, CO

BOULDER, CO

COLORADO SPRINGS, CO

LOS ANGELES, CA

**IMPORTANT NOTICE****TELECOPY/FACSIMILE COVER LETTER**

TO: Examiner L. Andujar Art Unit 2826

DATE: June 27, 2001

U.S. Application Serial No. 09/456,873

FAX COPY RECEIVED

FAX # 0011-703-305-3431

JUN 26 2001

FROM: Sterlon Mason

TECHNOLOGY CENTER 2806

TOTAL NO. OF PAGES, INCLUDING COVER: 21

The attached information is CONFIDENTIAL and is intended only for the use of the addressee(s) named above. If the reader of this message is not the intended recipient(s) or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any dissemination, distribution or copying of this communication is strictly prohibited. Anyone who receives this communication in error should notify us immediately by telephone and return the original message to us at the above address via mail.

**MESSAGE:**

Attached is a Response to Office Action for the above-identified application.

FORM PTO-1083

81788.0026

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Seiichi Mori

Serial No: 09/456,873

Filed: December 8, 1999

For: NON-VOLATILE SEMICONDUCTOR  
MEMORY DEVICE

Art Unit: 2826

Examiner: L. Andujar

Assistant Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is  
being facsimile transmitted to the United  
States Patent and Trademark Office, Fax No.  
703 305 3431 on June 27, 2001.

Sterling R. Mason Reg. No. 41,179

Name

June 27, 2001

Signature

Date

Dear Sir:

Transmitted herewith is a Response to Office Action.

- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☐ A certified copy of \_\_ Patent Application No. \_\_ filed \_\_ from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☐ A Notice Of Change Of Attorney's Address and Associate Power Of Attorney is enclosed.
- ☐ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA*	LG/SM \$ ENTITY FEE	ADD'L FEE DUE
TOTAL CLAIMS FEE	18	-	20	0	LG=\$18 SM=\$9	\$ 0
INDEPENDENT CLAIMS FEE	5	-	5	0	LG=\$80 SM=\$40	\$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135	\$ 0
					TOTAL	\$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ A check in the amount of \$ 0 to cover the additional claims fee is enclosed. A copy of this sheet is enclosed.
- ☐ A check in the amount of \$ 0 to cover the extension fee is enclosed. A copy of this sheet is enclosed.

CONTINUED ON NEXT PAGE

FAX COPY RECEIVED

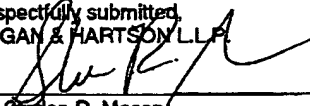
JUN 26 2001

TECHNOLOGY CENTER 2800

☒ The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.

- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims  
☒ Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,  
HOGAN & HARTSON LLP

By:   
Sterling R. Mason  
Registration No. 41,179  
Attorney for Applicant(s)

Date: June 27, 2001

Biltmore Tower  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Telephone: 213 337-6700  
Facsimile: 213 337-6701  
Trans/81788.0028

FAX COPY RECEIVED

JUN 26 2001

TECHNOLOGY CENTER 2800